



To: CGS-CIMB SECURITIES SDN. BHD. ["CGS-CIMB"]

Dear Sirs/Madam:

- (1) APPLICATION FOR ONLINE TRADING FACILITY VIA CGS-CIMB iTrade AND/OR
- (2) APPLICATION FOR NON-RINGGIT SECURITIES TRADING ACCOUNT; AND/OR
- (3) DECLARATION BY APPLICANT ON DISCLOSURE OF INFORMATION

Client's Name : \_\_\_\_\_  
Client's Trading Account No. : \_\_\_\_\_

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1. I/We hereby request CGS-CIMB to grant me/us the following
    - facility(ies): Online Trading facility via i\*Trade@CIMB; and/or
    - Non-Ringgit Securities Trading facility.
  2. In consideration of you granting me/us the above selected facility(ies), I/we further agree and undertake that I/we shall continue to be bound by CGS-CIMB's General Terms & Conditions and Risk Disclosure Statement (RDS) for Securities with immediate effect, a copy each of which has been extended to, received and acknowledged by me.
  3. With regard to the Non-Ringgit securities trading, I/we declare that:-
    - I/We do not have domestic ringgit borrowing as defined under the Foreign Exchange Administration Rules of Bank Negara Malaysia (BNM); OR
    - I/We have domestic ringgit borrowing as defined under the Foreign Exchange Administration Rules of BNM and I/we further declare that I/we am/are fully aware of the exchange control regulations imposed by BNM and agree that it is my/our responsibility to ensure that my/our investments abroad at all times shall be within the limit(s) imposed by BNM from time to time.
  4. I/We am/are fully aware of and understand the processes, procedures and risks involved in using the services and executing transactions through the electronic trading facilities;
  5. I/We am/are fully aware of and understand the relevant laws, rules and regulations in relation to the trading and dealing of securities;
  6. I/We shall indemnify CGS-CIMB against any losses, damages, debts, charges and all other costs and expenses whatsoever incurred or suffered or which CGS-CIMB may incur or suffer by reason of or in relation to or arising from or in connection with the provision of services and electronic trading facilities by CGS-CIMB to me/us;
  7. I/We confirm that the CGS-CIMB is authorized to verify any information and/or make any checks and/or obtain any information and/or confirmation at any time and from time to time now and/or in the future, with or from any credit reference/reporting agencies, including but not limited to and/or any other agencies and/or from any financial institution and/or any other source(s) that CGS-CIMB may in its sole discretion deem appropriate and to provide to such aforesaid party(s) with the required information requested to enable the CGS-CIMB to ascertain my status and/or any other person, individual and/or entity related to and/or associated with me as may be required by the CGS-CIMB for the purpose of the consideration of this application and thereafter if this application is approved for the purposes of the grant and/or continued maintenance of the facility/account; and/or recovery of debts due and payable under the facility/account; and/or any purpose related to or in connection with the facility/account applied for; and/or for any other purpose that is required or permitted by any law, regulations, guidelines and/or relevant regulatory authorities;
  8. I/We hereby authorize you or your representative to obtain information relating to this application from any source.
  9. I/We acknowledge and agree that CGS-CIMB may retain, for the benefit of CGS-CIMB and without any obligation to account to me/our, any interest/gain CGS-CIMB receives from our monies deposited in the Trust Account (the "Client's Monies"). I/We further consent to CGS-CIMB withdrawing the Client's Monies and any interest/gain received on the Client's Monies from the Trust Account. CGS-CIMB may in its sole and absolute discretion, taking into account any withholding tax and any administrative expenses incurred by CGS-CIMB in maintaining the Trust Account, pay any interest/gain received on the Client's Monies to me/us. I/We agree and acknowledge that any interest/gain paid by CGS-CIMB to me/us may be less than that received by CGS-CIMB.
    - I/We hereby give my/our consent to the above disclosure to third parties;
  10. I/We consent to my/our personal data and/or any of our directors, individual shareholders, officers, personnel, individual guarantor, security provider and/or other person, individual, and/or entity related to and/or associated with me/us to be collected, used, disclosed and/or processed by CGS-CIMB in accordance with the General Terms and Conditions, provided always that disclosure for cross selling purposes shall not be effected if such disclosure is objected by me/us by writing to CGS-CIMB at the following address (which may be changed by CGS-CIMB from time to time by notice to us): Level G, Tropicana City Office Tower, No. 3, Jalan SS 20/27, 47400 Petaling Jaya, Selangor (Attention to Data Management)

Further, where CGS-CIMB intends to share my/our information (excluding information relating to my/our affairs or account) with third parties for strategic alliances, marketing and promotional purposes,

- I/We hereby give my/our consent to the above disclosure to third parties; OR
- I/We do not consent to the giving of the above disclosure to third parties.

11. 

<b>Initial</b>	<b>Tick</b>

 I/We hereby acknowledge that I/we have accessed and/or read the Privacy Notice issued by CGS-CIMB (which is available at all CGS-CIMB branches as well as at the CGS-CIMB iTrade website at www.itradecimb.com.my or has otherwise been made available to me); and the Personal Data Notice issued by Bursa Malaysia Berhad ("Bursa Malaysia") (which is available at Bursa Malaysia's website at www.bursamalaysia.com or has otherwise been made available to me/us), and confirm my/our agreement to the same.

12. 

<b>Initial</b>	<b>Tick</b>

 I/We also acknowledge that I/we have received, read, understood the contents of CGS-CIMB's General Terms & Conditions, and RDS for Securities, and agree to be bound by them or such additional amendments or terms and conditions as may be made from time to time;

13 I/We hereby confirm the information provided above is true, accurate and complete.

I/We hereby consent for CGS-CIMB and/or any of its Affiliates to share my/our information with domestic or overseas regulators or tax authorities, where necessary to establish my/our tax liability in any relevant jurisdiction.

"Affiliates" shall carry the meaning as defined in CGS-CIMB's General Terms and Conditions.

I/We agree and undertake to sign and/or deliver to CGS-CIMB and/or any of its Affiliates additional information, documents and/or forms, for the purposes of CGS-CIMB and/or any of its Affiliates compliance with any tax laws and regulations.

Where required by domestic or overseas governmental, supervisory or regulatory authorities, I/We understand and agree that CGS-CIMB and/or its Affiliates may withhold, and pay out, from any of my/our account(s) such amounts as may be required according to applicable laws, regulations, directives, guidelines and/or agreements with and/or from domestic or overseas governmental, supervisory or regulatory authorities.

I/We also agree and undertake to notify CGS-CIMB in a timely manner (which in any case, within 30 calendar days), and provide the information if requested by CGS-CIMB, if there is a change in any information which I/we have provided to CGS-CIMB as above.

And I/We make this solemn declaration conscientiously believing the same to be true.

Acknowledged & confirmed by:

\_\_\_\_\_  
 Signature Of Applicant(s) / Authorized Signatory(ies) of Corporate Applicant  
*[For corporate applicant, to affix company's rubber stamp / common seal, whichever is applicable]*  
 Name:  
 New NRIC/Passport No.:  
 Designation:  
 Date:

\_\_\_\_\_  
 \*Signature Of Dealer's Representative / Notary Public  
 Name:  
 New NRIC/Passport No.:  
 Date:

*Mandatory for client to select  
 Delete whichever is not applicable*

To be completed by CREDIT CONTROL <b>Signature verified by:</b> _____ <b>Approved by:</b> _____ <b>Date:</b> _____	To be completed by DATA MANAGEMENT <b>Signature verified by:</b> _____ <b>Approved by:</b> _____ <b>Date:</b> _____
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