



To: CGS-CIMB SECURITIES SDN. BHD. ["CGS-CIMB"]

Dear Sirs/Madam:

- (1) APPLICATION FOR ONLINE TRADING FACILITY VIA CGS-CIMB iTrade AND/OR
- (2) APPLICATION FOR NON-RINGGIT SECURITIES TRADING ACCOUNT; AND/OR
- (3) DECLARATION BY APPLICANT ON DISCLOSURE OF INFORMATION

Client's Name : _____ Client's Trading Account No. : _____

1. I/We hereby request CGS-CIMB to grant me/us the following facility(ies)
 - Online Trading facility via CGS-CIMB iTrade; and/or
 - Non-Ringgit Securities trading facility
2. In consideration of you granting me/us the above selected facility(ies), I/we further agree and undertake that I/we shall continue to be bound by CGS-CIMB's General Terms & Conditions and Risk Disclosure Statement (RDS) for Securities with immediate effect, a copy each of which has been extended to, received and acknowledged by me.
3. With regard to the Non-Ringgit securities trading, I/we declare that:-
 - I/We do not have domestic ringgit borrowing as defined under the Foreign Exchange Administration Rules of Bank Negara Malaysia (BNM); OR
 - I/We have domestic ringgit borrowing as defined under the Foreign Exchange Administration Rules of BNM and I/we further declare that I/we am/are fully aware of the exchange control regulations imposed by BNM and agree that it is my/our responsibility to ensure that my/our investments abroad at all times shall be within the limit(s) imposed by BNM from time to time.
4. I/We am/are fully aware of and understand the processes, procedures and risks involved in using the services and executing transactions through the electronic trading facilities;
5. I/We am/are fully aware of and understand the relevant laws, rules and regulations in relation to the trading and dealing of securities;
6. I/We shall indemnify CGS-CIMB against any losses, damages, debts, charges and all other costs and expenses whatsoever incurred or suffered or which CGS-CIMB may incur or suffer by reason of or in relation to or arising from or in connection with the provision of services and electronic trading facilities by CGS-CIMB to me/us;
7. I/We confirm that the CGS-CIMB is authorized to verify any information and/or make any checks and/or obtain any information and/or confirmation at any time and from time to time now and/or in the future, with or from any credit reference/reporting agencies, including but not limited to and/or any other agencies and/or from any financial institution and/or any other source(s) that CGS-CIMB may in its sole discretion deem appropriate and to provide to such aforesaid party(s) with the required information requested to enable the CGS-CIMB to ascertain my status and/or any other person, individual and/or entity related to and/or associated with me as may be required by the CGS-CIMB for the purpose of the consideration of this application and thereafter if this application is approved for the purposes of the grant and/or continued maintenance of the facility/account; and/or recovery of debts due and payable under the facility/account; and/or any purpose related to or in connection with the facility/account applied for; and/or for any other purpose that is required or permitted by any law, regulations, guidelines and/or relevant regulatory authorities;
8. I/We hereby authorize you or your representative to obtain information relating to this application from any source.
9. I/We acknowledge and agree that CGS-CIMB may retain, for the benefit of CGS-CIMB and without any obligation to account to me/our, any interest/gain CGS-CIMB receives from our monies deposited in the Trust Account (the "Client's Monies"). I/We further consent to CGS-CIMB withdrawing the Client's Monies and any interest/gain received on the Client's Monies from the Trust Account. CGS-CIMB may in its sole and absolute discretion, taking into account any withholding tax and any administrative expenses incurred by CGS-CIMB in maintaining the Trust Account, pay any interest/gain received on the Client's Monies to me/us. I/We agree and acknowledge that any interest/gain paid by CGS-CIMB to me/us may be less than that received by CGS-CIMB.
 - I/We hereby give my/our consent to the above disclosure to third parties;
10. I/We consent to my/our personal data and/or any of our directors, individual shareholders, officers, personnel, individual guarantor, security provider and/or other person, individual, and/or entity related to and/or associated with me/us to be collected, used, disclosed and/or processed by CGS-CIMB in accordance with the General Terms and Conditions, provided always that disclosure for cross selling purposes shall not be effected if such disclosure is objected by me/us by writing to CGS-CIMB at the following address (which may be changed by CGS-CIMB from time to time by notice to us): Level G, Tropicana City Office Tower, No. 3, Jalan SS 20/27, 47400 Petaling Jaya, Selangor (Attention to Client Account Management)

Further, where CGS-CIMB intends to share my/our information (excluding information relating to my/our affairs or account) with third parties for strategic alliances, marketing and promotional purposes,

 - I/We hereby give my/our consent to the above disclosure to third parties; OR
 - I/We do not consent to the giving of the above disclosure to third parties.

11.

Initial	Tick

 I/We hereby acknowledge that I/we have accessed and/or read the Privacy Notice issued by CGS-CIMB (which is available at all CGS-CIMB branches as well as at the CGS-CIMB iTrade website at www.itradecimb.com.my or has otherwise been made available to me); and the Personal Data Notice issued by Bursa Malaysia Berhad ("Bursa Malaysia") (which is available at Bursa Malaysia's website at www.bursamalaysia.com or has otherwise been made available to me/us), and confirm my/our agreement to the same.

12.

Initial	Tick

 I/We also acknowledge that I/we have received, read, understood the contents of CGS-CIMB's General Terms & Conditions, and RDS for Securities, and agree to be bound by them or such additional amendments or terms and conditions as may be made from time to time;

For Islamic Non-Ringgit Securities

13. I/We also acknowledges that the Islamic Broking Services is conducted in accordance with Shariah principles on a "window" basis and that CGS-CIMB will only deal for me/us in Shariah compliant securities approved by the Shariah Advisory Council of the Securities Commission; the me/our Shariah Advisory Board(s) or other Shariah Boards acceptable to CGS-CIMB. CGS-CIMB shall not be obliged to place any surplus funds in income-generating account in the absence of – me/our specific written instructions

Applicable for Institutional Only

14. Prior to the trading of any Foreign Securities, we agree to provide CGS-CIMB a list of Foreign Securities which has been approved by our Shariah Advisory Board ("**Shariah Compliant Securities List**"). We acknowledge CGS-CIMB shall not be obligated or responsible to verify, monitor or confirm the compliance or conformity of any securities listed on such Shariah Compliant Securities List with any Shariah principles and such decision should be solely the responsibility of our Shariah Advisory Board.

We agree to promptly update CGS-CIMB should any changes be made to the Shariah Compliant Securities List

15. I/We hereby confirm the information provided above is true, accurate and complete.

I/We hereby consent for CGS-CIMB and/or any of its Affiliates to share my/our information with domestic or overseas regulators or tax authorities, where necessary to establish my/our tax liability in any relevant jurisdiction.

"Affiliates" shall carry the meaning as defined in CGS-CIMB's General Terms and Conditions.

I/We agree and undertake to sign and/or deliver to CGS-CIMB and/or any of its Affiliates additional information, documents and/or forms, for the purposes of CGS-CIMB and/or any of its Affiliates compliance with any tax laws and regulations.

Where required by domestic or overseas governmental, supervisory or regulatory authorities, I/We understand and agree that CGS-CIMB and/or its Affiliates may withhold, and pay out, from any of my/our account(s) such amounts as may be required according to applicable laws, regulations, directives, guidelines and/or agreements with and/or from domestic or overseas governmental, supervisory or regulatory authorities.

I/We also agree and undertake to notify CGS-CIMB in a timely manner (which in any case, within 30 calendar days), and provide the information if requested by CGS-CIMB, if there is a change in any information which I/we have provided to CGS-CIMB as above.

And I/We make this solemn declaration conscientiously believing the same to be true.

Acknowledged & confirmed by:

Signature Of Applicant(s) / Authorized Signatory(ies) of Corporate Applicant
[For corporate applicant, to affix company's rubber stamp / common seal, whichever is applicable]
 Name:
 New NRIC/Passport No.:
 Designation:
 Date:

*Signature Of Dealer's Representative / Notary Public

 Name:
 New NRIC/Passport No.:
 Date:

Mandatory for client to select
 Delete whichever is not applicable

<p>To be completed by CREDIT CONTROL</p> <p>Signature verified by: _____</p> <p>Approved by: _____</p> <p>Date: _____</p>	<p>To be completed by CLIENT ACCOUNT MANAGEMENT</p> <p>Signature verified by: _____</p> <p>Approved by: _____</p> <p>Date: _____</p>
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