

To: **CIMB Investment Bank Berhad ("CIMB")**

Dear Sir / Madam:

VIP Traders Campaign

Name (as per NRIC/Passport): _____

NRIC/Passport No.: _____

Client Code: _____

Mobile Tel No. _____

Home Tel No. _____

Email Address: _____

Correspondence Address: _____

☐ Yes, I would like to participate in the VIP Traders Campaign. I have read, understood and agreed to comply with the Terms & Conditions of the Campaign.

I make this solemn declaration conscientiously believing the same to be true.

Yours faithfully,

Witnessed by,

Signature of Applicant

*Signature of Dealer's Representative /
Authorized Officer of CIMB Bank Berhad** /
Notary Public

Name:

Name:

Date:

Date:

**Delete whichever is not applicable*

*** Applicable to CIMB Bank Berhad Branch Broking Only*

FOR OFFICE USE ONLY		
To be completed by Retail Broking		
Checklist	√	
Supplemental Agreement / Contract / Terms and Conditions duly executed by Remisier / Commission Authorised Officer / Introducing Representative / Equities Relationship Officer		
Campaign Participation Form duly completed and duly signed by client & Dealer's Representative / Authorised Officer of CIMB Bank Berhad is submitted no later than 30 calendar days from the date of Securities Trading Account-Direct opened		
Client does not maintain any existing trading account with CIMB and CIMB Bank Berhad prior to the Campaign Offer Period		
During the Campaign Offer Period, client does not:- (i) close and reopen his/her trading account OR (ii) convert his/her trading account into Securities Trading Account-Direct		
Client code provided on the Campaign Participation Form is a Securitised Trading Account - Direct		
Securitised Trading Account – Direct opened during the Campaign Offer Period		
Account has been approved to trade online in Bursa Malaysia		
Received By:	Check By:	Campaign Participation Approved By:
Name: _____	_____	_____
Date: _____	_____	_____

To be completed by CMRM-DM unit		
Checklist	√	
Campaign Participation has been approved by Retail Broking		
Client does not maintain any existing trading account with CIMB and CIMB Bank Berhad prior to the Campaign Offer Period		
During the Campaign Offer Period, client does not:- (i) close and reopen his/her trading account OR (ii) convert his/her trading account into Securities Trading Account-Direct		
Client code provided on the Campaign Participation Form is a Securitised Trading Account - Direct		
Securitised Trading Account – Direct opened during the Campaign Offer Period		
Received By:	Signature Verified By:	Checked and Verified By:
Name: _____	_____	_____
Date: _____	_____	_____

Terms and Conditions for – VIP Traders Campaign**Campaign Offer Period**

1. The VIP Traders Campaign (“Campaign”) is organised by CIMB Investment Bank Berhad (“CIMB”) and shall commence on 1 June 2016 and ends on 31 August 2016, both dates inclusive, unless otherwise determined by CIMB (“Campaign Offer Period”).

Eligibility

2. The Campaign is open to NEW retail stockbroking clients who open a new Securitised Trading Account – Direct, (“STA-Direct Account”) with CIMB during the Campaign Offer Period and have been approved to trade online in Bursa Malaysia (“Eligible Participants”), subject always to the terms and conditions contained herein.
3. The following shall NOT be eligible to participate in the Campaign:
 - (a) Existing retail stockbroking clients of CIMB and CIMB Bank Berhad who already have existing trading account(s) (including but not limited to their existing STA-Direct Account) with CIMB and CIMB Bank Berhad prior to the Campaign Offer Period (“Existing Clients”); or
 - (b) Existing Clients who, during the Campaign Offer Period, close their existing trading account(s) (including but not limited to their existing STA-Direct Account) and open new trading account(s) (including but not limited to STA-Direct Account);
 - (c) New clients who, during the Campaign Offer Period, open new trading account(s) (other than STA-Direct Account) and subsequently close the said trading account(s) to open a new STA-Direct Account;
 - (d) New clients who, during the Campaign Offer Period, open new trading account (other than STA-Direct Account) and subsequently convert the said trading account to a STA-Direct Account;
 - (e) Islamic stockbroking account; or
 - (f) Institutional stockbroking clients; or
 - (g) Private Banking stockbroking account clients; or
 - (h) Permanent employees, contract employees and agents of CIMB (including its Affiliates). For purposes of these terms and conditions “Affiliates” includes a related corporation (as defined in the Companies Act 1965) of CIMB or a corporation that directly, or indirectly through one or more intermediaries, controls or is controlled by or is under common control of CIMB : or
 - (i) Others as may be determined by CIMB.
4. Eligible Participants are required to submit the duly completed Campaign Participation Form and other documents, if any, no later than 30 calendar days from the date of opening the STA-Direct Account.
5. Eligible Participants who participate in this Campaign are not eligible to participate in any other campaigns on share trading or share margin launched by CIMB and its Affiliates during the Campaign Offer Period and Promotion Period (defined below).

Campaign STA-Direct Account Features During Promotion Period

6. Eligible Participants participation in this Campaign ("Participants") will only take effect on the date upon which:-
- (a) The STA-Direct Account(s) have been approved and activated to trade online in Bursa Malaysia; and
 - (b) Submission of the duly completed Campaign Participation Form and other documents, if any; and
 - (c) The STA-Direct Account(s) have been facilitated, approved and activated for this Campaign.
- ("Effective Date").
- Upon the Effective Date, namely the fulfilment of paragraphs 6 (a), (b) and (c) above, the STA Direct Account shall be referred to as "Campaign STA-Direct Account."
7. During the Promotion Period (defined below) and subject always to CIMB's absolute discretion, the following features shall apply to the Participants' Campaign STA-Direct Account:-
- (a) Trading limit of up to 2 times of the existing cash value granted in the Campaign STA-Direct Account and trading limit of up to 1 time of the existing securities value granted in the Campaign STA-Direct Account; and
 - (b) Interest of 1% p.a. will be paid on the Participants' balance monies (limited to monies in base currency of Ringgit Malaysia only) in their Campaign STA-Direct Account. Interest will be calculated on a daily basis and credited at end of the calendar month.
8. Day trade facility and day trade brokerage rate will not apply to the Campaign STA-Direct Account during the Promotion Period.

Brokerage Rate and Promotion Period

9. Save and except for loan instruments and odd lot trades transacted online on Bursa Malaysia, Participants who have met the Qualifying Criteria in Clause 12 below ("Qualified Participants") will, subject always to CIMB's approval, enjoy the following tiered brokerage rate set out in Table 1 on trades transacted online on Bursa Malaysia in their Campaign STA-Direct Account for a period of 6 months from the Effective Date or such other period as CIMB deems fit ("Promotion Period"):

Table 1

Tier	Day End Cumulative Turnover Value Of Online Trades on Bursa Malaysia (RM)	Brokerage Rate (%)	Minimum Brokerage
1	80,000.00 to 89,999.99	0.0488%	N/A
2	90,000.00 to 99,999.99	0.0388%	N/A
3	100,000.00 and above	0.0288%	N/A

Brokerage Rate and Promotion Period (cont'd)

10. For loan instruments transacted online on Bursa Malaysia during the Promotion Period, Qualified Participants will, subject always to Clause 11 below and CIMB's approval, enjoy the following tiered brokerage rate set out in Table 2 in their Campaign STA-Direct Account:-

Table 2

Tier	Day End Cumulative Turnover Value Of Online Loan Instrument Trades on Bursa Malaysia (RM)	Brokerage Rate (%)	Minimum Brokerage
1	80,000.00 to 89,999.99	0.0488%	% or minimum RM2.00 per contract, whichever is higher
2	90,000.00 to 99,999.99	0.0388%	% or minimum RM2.00 per contract, whichever is higher
3	100,000.00 and above	0.0288%	% or minimum RM2.00 per contract, whichever is higher

11. For odd lot trades (inclusive of odd lots trades of loan instruments) transacted online on Bursa Malaysia during the Promotion Period, Qualified Participants will, subject always to CIMB's approval, enjoy the following tiered brokerage rate set out in Table 3 in their Campaign STA-Direct Account:-

Table 3

Tier	Day End Cumulative Turnover Value Of Online Odd Lots Trades on Bursa Malaysia (RM)	Brokerage Rate (%)	Minimum Brokerage
1	80,000.00 to 89,999.99	0.0488%	% or minimum RM12.00 per contract, whichever is higher
2	90,000.00 to 99,999.99	0.0388%	% or minimum RM12.00 per contract, whichever is higher
3	100,000.00 and above	0.0288%	% or minimum RM12.00 per contract, whichever is higher

12. In order to become "Qualified Participants", the criteria that must be met ("Qualifying Criteria") are set out below:

- Qualified Participants must, maintain a day end minimum balance monies (limited to monies in base currency of Ringgit Malaysia only) in the Campaign STA-Direct Account of RM 5,000.00; and
- Qualified Participants must generate day end cumulative turnover value in the Campaign STA-Direct Account from trades transacted online on Bursa Malaysia during the Promotion Period as specified under Clause 9, 10 and 11.

Where Participants do not fulfil the Qualifying Criteria, they will not be considered as "Qualified Participants" whereupon Clause 13 below shall apply.

13. Save and except for loan instruments and odd lot trades transacted online on Bursa Malaysia in Participants' Campaign STA-Direct Account during the Promotion Period, if either:-

- (a) the day end cumulative turnover value in the Campaign STA-Direct Account from trades transacted online on Bursa Malaysia is below RM80,000.00; or
- (b) the day end balance monies (limited to monies in base currency of Ringgit Malaysia only) in the Campaign STA-Direct Account is less than RM5,000.00;

the Participants will be charged brokerage rate of 0.42% or minimum RM28.00 per contract, whichever is higher.

For loan instruments and odd lot trades (inclusive of odd lot trades of loan instruments) transacted online on Bursa Malaysia in Participants' Campaign STA-Direct Account during the Promotion Period, if either:-

- (c) the day end cumulative turnover value in the Campaign STA-Direct Account from trades transacted online on Bursa Malaysia is below RM80,000.00; or
- (d) the day end balance monies (limited to monies in base currency of Ringgit Malaysia only) in the Campaign STA-Direct Account is less than RM5,000.00;

Participants will be charged brokerage rate of 0.42% or minimum brokerage rate of RM2.00 and RM12.00 per contract whichever is higher respectively.

14. For avoidance of doubt:-

- (a) the day end balance monies shall mean the balance monies reflected in the Participants' Campaign STA-Direct Account as at 5.00P.M. on a particular trading day; and
- (b) the day end cumulative turnover value shall mean the turnover value in the Participants' Campaign STA-Direct Account as at 5.00P.M. on a particular trading day.

Further illustrations to the above are set out in Appendix 1

15. The following trades transacted in Participants' Campaign STA-Direct Account during the Promotion Period shall be charged the prevailing brokerage rate or charges as prescribed by CIMB from time to time:-

- (a) Offline trades transacted on Bursa Malaysia; and
- (b) Offline and online trades transacted on foreign stock exchanges recognised by Bursa Malaysia Securities Berhad.

16. For avoidance of doubt, the brokerage rate and minimum brokerage set out in Clauses 9, 10, 11 and 13 above will ONLY be applicable to Participants and Qualified Participants (where applicable, as the case may be) on:-

- (a) trades which are transacted in the Campaign STA-Direct Account during the Promotion Period; and
- (b) trades which are transacted online on Bursa Malaysia during the Promotion Period.

17. For avoidance of doubt, Clauses 6 to 13 above shall only apply during the Promotion Period. Upon expiry of the Promotion Period, the Campaign STA-Direct Account features shall revert to its default features, including but not limited to CIMB charging its brokerage rates or charges as prescribed by CIMB from time to time.

General

18. Eligible Participants must agree to these Terms and Conditions in order to participate in this Campaign. A copy of the Terms and Conditions will be given to all Eligible Participants.
19. By signing the Campaign Participation Form and by participating in the Campaign, all Eligible Participants are deemed to have read, understood and agreed to be bound by these Terms & Conditions and agreed that any decisions of CIMB in relation to every aspect of the Campaign, including the determination of the Eligible Participants and Qualified Participants, is final, binding and conclusive. No correspondence, queries, appeals or protests will be entertained.
20. Exchange fees, clearing and routing charges, jurisdiction taxes, levies and all prescribed charges imposed by the relevant exchanges, regulators and its jurisdiction laws if any shall be borne by Eligible Participants.
21. Pursuant to the requirements of the Personal Data Protection Act 2010, CIMB is required to obtain the Eligible Participant's consent for the processing of the Eligible Participant's personal data. Therefore, the Eligible Participant hereby consents to CIMB's processing of the Eligible Participant's personal data which the Eligible Participant may provide to CIMB now and from time to time in connection with their applications or participation in the Campaign. The Eligible Participant hereby confirms that the Eligible Participant has read, understood and agrees to be bound by the CIMB Group Privacy Notice (which is available at www.itradecimb.com.my) ("Privacy Notice") and the clauses herein, as may relate to the processing of his or her personal information. For the avoidance of doubt, the Eligible Participant agrees that the said Privacy Notice shall be deemed to be incorporated by reference into these Terms and Conditions.
22. In no event will CIMB be liable for any loss or damages (including without limitations, loss of income, profits or goodwill, direct or indirect, incidental consequential, exemplary, punitive or special damages or any party including third parties) howsoever arising whether in contract, tort, negligence or otherwise, in connection with the Campaign, even if CIMB has been advised of the possibility of such damages in advance, and all such damages are expressly excluded.
23. CIMB reserves the right to cancel, terminate or suspend the Campaign with or without any prior notice. For avoidance of doubt, cancellation, termination or suspension of the Campaign shall not entitle any of the Eligible Participants to any claim or compensation against CIMB or any losses or damages suffered or incurred by the Eligible Participants as a direct or indirect result of the act of cancellation, termination or suspension.
24. CIMB reserves the rights at its absolute discretion to vary (whether by addition, deletion, modification, amendment or otherwise howsoever) (the "Amendment") any of the terms and conditions herein at any time. The Amendment shall be effected at CIMB's absolute discretion through any one of the following means of communication, namely, by ordinary mail to the Eligible Participants' last known address or by posting a notice regarding the Amendment at each of CIMB's branches or by effecting an advertisement regarding the Amendment in any one newspaper of CIMB's choice, or via CIMB's website and the Amendment shall be deemed as binding on the Eligible Participants as from the date of notification of the Amendment or from such other date as may be specified by CIMB.
25. These Terms & Conditions, as may be varied or amended from time to time pursuant to Clause 24, shall prevail over any provisions or representations contained in any other promotional materials advertising the Campaign.
26. None of the campaigns is nor shall any of them be construed as an offer, recommendation or solicitation to buy or sell any securities. All Eligible Participants are advised to make their own independent evaluation and to consult their professional advisers before undertaking any transaction.

CIMB Investment Bank Berhad (18417-M)

(A Participating Organisation of Bursa Malaysia Securities Berhad)
17th Floor Menara CIMB, Jalan Stesen Sentral 2, Kuala Lumpur Sentral, 50470 Kuala Lumpur Malaysia
Telephone (603) 2261 8888 Facsimile (603) 2261 8889 www.cimb.com

27. All other terms and conditions applicable to general trading under i*Trade@CIMB and/or the respective other account(s)' terms and conditions shall continue to apply. Nothing herein shall affect CIMB's general terms and conditions and/or the other respective account(s)' terms and conditions to which the Eligible Participants have agreed to in respect of their trading accounts held at CIMB.
28. All applicable local laws and regulations apply to this Campaign.

Appendix 1:

Trading Day	Day end monies balance (RM)	Counter	Buy or Sell	Quantity (Units)	Price (RM)	Total Value (RM)	Securities or Instrument Type	Order Type	Brokerage Rate Applicable
1	3,000	Maxis	Buy	5,000	6.00	30,000.00	Shares	Online	0.42% or minimum RM 28 per contract whichever is higher
		Digi	Sell	9,000	5.00	45,000.00	Shares	Online	0.42% or minimum RM 28 per contract whichever is higher
		Axiata	Buy	2,000	5.80	11,600.00	Shares	Online	0.42% or minimum RM 28 per contract whichever is higher
	Total Value					86,600.00			
2	5,000	Maxis	Buy	5,000	6.00	30,000.00	Shares	Online	0.0488%
		Digi	Sell	9,000	5.00	45,000.00	Shares	Online	0.0488%
		Axiata	Buy	2,000	5.80	11,600.00	Shares	Online	0.0488%
	Total Value					86,600.00			
3	2,500	Maxis	Buy	10,000	6.00	60,000.00	Shares	Online	0.42% or minimum RM 28 per contract whichever is higher
		Redtone International - ICULS 2010/2020	Sell	50,000	0.235	11,750.00	Loan	Online	0.42% or minimum RM 2 per contract whichever is higher
		Malakoff	Sell	53	1.60	84.80	Shares	Online (Odd lot)	0.42% or minimum RM 12 per contract whichever is higher
	Total Value					71,834.80			

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Trading Day	Day end minimum monies balance (RM)	Counter	Buy or Sell	Quantity (Units)	Price (RM)	Total Value (RM)	Securities or Instrument Type	Order Type	Brokerage Rate Applicable
4	6,000	Maxis	Buy	15,000	6.00	90,000.00	Shares	Online	0.0288%
		Redtone International - ICULS 2010/2020	Sell	50,000	0.235	11,750.00	Loan	Online	0.0288% or minimum RM 2 per contract whichever is higher
		Malakoff	Sell	53	1.60	84.80	Shares	Online (Odd lot)	0.0288% or minimum RM 12 per contract whichever is higher
	Total Value					101,834.80			
5	10,000	Maxis	Buy	5,000	6.00	30,000.00	Shares	Online	0.42% or minimum RM 28 per contract whichever is higher
		Redtone International - ICULS 2010/2020	Sell	53	0.235	12.46	Loan	Online (Odd lot)	0.42% or minimum RM 12 per contract whichever is higher
	Total Value					30,012.46			
6	10,000	Maxis	Buy	17,000	6.00	102,000.00	Shares	Online	0.0288%
		Redtone International - ICULS 2010/2020	Sell	53	0.235	12.46	Loan	Online (odd lot)	0.0288% or minimum RM 12 per contract whichever is higher
	Total Value					102,012.46			

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