

Guidelines for e*Rights Subscription Module in i*Trade@CIMB

1.1 Purpose

Applicable for CIMBSec Nominees share trading account with CIMB Investment Bank Berhad (Clicks Trader and/or Securitised Direct Trading Account – Nominees account holder), client can subscribe to the rights securities entitlement pursuant to the Rights Issue announced by the listed issuer (“Rights Securities”) via **i*Trade@CIMB** website

1.2 Benefit of eRights

- **Efficient and convenient**
Do not need to go to bank and buy bank draft .
- **Eliminate postage.**
Save on postage cost
- **Go green concept**
Paperless by going online

1.3 System Guide

1.3.1 Login to iTrade website

The screenshot shows the i*Trade@CIMB website interface. At the top right is the logo "i*Trade@CIMB". Below it, a navigation bar contains a home icon and the text "Online Share Trading". The main content area features a "MEMBER LOGIN" section with input fields for "User ID" and "Password", a dropdown menu for "i*Trade@CIMB v2.0", and a "Go" button. To the right of the login fields, there is a note about browser compatibility: "The i*Trade@CIMB online share trading platform is most compatible with the following programmes :- Google Chrome / Mozilla Firefox / Internet Explorer 11 or above and Flash Player." Below this note are icons for the mentioned browsers. Further down, there is a contact information line: "For further assistance, please feel free to contact i*Trade@CIMB Call Centre at 603 2261 0888." At the bottom of the page, there is a promotional banner for the "CBT Year End Holiday Campaign" featuring a red background with white text: "Trade on Hong Kong Stock Exchange and/or Shanghai Stock Exchange* to earn AirAsia E-Gift vouchers worth RM1,000!". The banner also includes a small image of the Oriental Pearl Tower and the Shanghai Stock Exchange building.

- 1.3.2 Click <Subscription> Select Online CA Instruction tab in order to proceed for the CA subscription

The screenshot shows the i*Trade@CIMB website interface. At the top, there is a navigation bar with links: Research, Trading Hall, Settlement, My Profile, and Subscription. Below this, there is a secondary navigation bar with icons for Watchlist, Stock Info, Chart, Buy, Sell, and a dropdown menu for Subscription. The Subscription dropdown menu is open, showing options: New Subscription, Current Subscription, Online CA Instruction (highlighted in red), and CA Subscription Status. Below the navigation bar, there is a section for 'Quote Screen' showing a stock quote for KLM (KL) with a price of 1,691.110 and a change of +1.86 (0.11%). Below this, there is a table of stock quotes with columns: Code, Symbol, Close, High, Low, Bid.Qty, Bid, Ask, and Ask.Qty. The table shows two rows: 7164 KNM and 0023 IFCAMSC.

Code	Symbol	Close	High	Low	Bid.Qty	Bid	Ask	Ask.Qty
7164	KNM	0.555	0.575	0.530	569,700	0.540	0.545	2,534,300
0023	IFCAMSC	0.940	1.010	0.940	281,500	0.980	0.985	525,600

- 1.3.3 Select trading account from the drop down menu

The screenshot shows the 'Corporate Action Subscription' page in a Google Chrome browser. The URL is https://uat.itradecimb.com.my/gcCIMB/web/html/caSubscription.html. The page has a progress bar with four steps: 1. Select Account (active), 2. Read/Agree, 3. Subscription/ Payment, and 4. Subscription Complete. Below the progress bar, there is a form with fields for 'Client's Name' and 'Account Number'. The 'Account Number' field has a dropdown menu with 'Clicks Trader' selected. Below the form, there is a table titled 'Corporate Action Subscription' with columns: Counter Information, Start Subscription Date, Last Date to Subscribe, Corporate Action Type, Description, Ex-Date / Meeting Date, Record Date, and Last Trading Date. The table contains two rows of data for 'SUPERCOMNET TECHNOLOGIES BHD(0001)' and 'KOTRA INDUSTRIES BHD(0002)'.

Counter Information	Start Subscription Date	Last Date to Subscribe	Corporate Action Type	Description	Ex-Date / Meeting Date	Record Date	Last Trading Date
<u>SUPERCOMNET TECHNOLOGIES BHD(0001)</u>	17 Feb 2015	05 Aug 2016	Rights Subscription	text1123 text1456 text1789 text1000	22 Aug 2014	23 Aug 2014	24 Aug 2014
<u>KOTRA INDUSTRIES BHD(0002)</u>	17 Feb 2015	05 Aug 2016	Rights Subscription	text1123 text1456 text1789 text1000	22 Aug 2014	23 Aug 2014	24 Aug 2014

1.3.4 Select list undergoing CA exercise

<u>BHS INDUSTRIES BERHAD-ORDINARY RIGHTS(7241OR)</u>	29 Sep 2015	09 Oct 2015	Call on Intermediate Securities	RENOUNCEABLE RIGHTS ISSUE OF UP TO 106,666,666 NEW ORDINARY SHARES OF RM0.25 EACH IN BHS INDUSTRIES BERHAD ("BHS SHARE(S)") ("RIGHTS SHARE(S)") ON THE BASIS OF ONE (1) RIGHTS SHARE FOR EVERY THREE (3) EXISTING BHS SHARES HELD AT 5.00 P.M. ON 9 SEPTEMBER 2015, TOGETHER WITH UP TO 213,333,332 FREE DETACHABLE WARRANTS ("WARRANT(S)") ON THE BASIS OF TWO (2) WARRANTS FOR EVERY ONE (1) RIGHTS SHARE SUBSCRIBED, AT AN ISSUE PRICE OF RM0.42 PER RIGHTS SHARE PAYABLE IN FULL UPON ACCEPTAN	01 Jan 1900	01 Jan 1900	08 Oct 2015
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1.3.5 User is require to read the corporate action important information and click <Continue>

https://uat.itradecimb.com.my/gcCIMB/web/html/caSubscription.html

Corporate Action Subscription Last Update 04/02/2015

Read/ Agree 1 Select Account > 2 Read/ Agree > 3 Subscription/ Payment > 4 Subscription Complete

BHS INDUSTRIES BERHAD-ORDINARY RIGHTS (7241OR)

Company Action Type	Call on Intermediate Securities
Description	RENOUNCEABLE RIGHTS ISSUE OF UP TO 106,666,666 NEW ORDINARY SHARES OF RM0.25 EACH IN BHS INDUSTRIES BERHAD ("BHS SHARE(S)") ("RIGHTS SHARE(S)") ON THE BASIS OF ONE (1) RIGHTS SHARE FOR EVERY THREE (3) EXISTING BHS SHARES HELD AT 5.00 P.M. ON 9 SEPTEMBER 2015, TOGETHER WITH UP TO 213,333,332 FREE DETACHABLE WARRANTS ("WARRANT(S)") ON THE BASIS OF TWO (2) WARRANTS FOR EVERY ONE (1) RIGHTS SHARE SUBSCRIBED, AT AN ISSUE PRICE OF RM0.42 PER RIGHTS SHARE PAYABLE IN FULL UPON ACCEPTAN
Ex-Date / Meeting-Date	01 Jan 1900
Record Date	01 Jan 1900
Last Trading Date	08 Oct 2015

Option 001 Exercise Rights
Option 002 Apply Excess

Corporate Action Subscription	09 Oct 2015	17:00
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Continue

1.3.6 User is required to agree and accept the terms and conditions before proceed to subscribe the corporate action

https://uat.itradecimb.com.my/gcCIMB/web/html/caSubscription.html

Terms and Conditions

TERMS AND CONDITIONS OF THE AGREEMENT

1. Definitions

1.1. subscribe to obtain for all sales, repurchases, transfers, directions of funds (except those Exchange (DSE)) enable any other relevant activity and any subsequent new sales or repurchases, amendments or variations that may be from time to time be brought into force or expired;

1.2. declare that the information given herein is true and correct and that I have not submitted an account that is information that is false or misleading or is in any way, any manner without such party's information furnished herein or that there is any other person or entity I have submitted to be used as a proxy with each other without obtaining the consent of any person or entity to be used as a proxy;

1.3. declare that I am not a bankrupt or at the date hereof and day, have not submitted nor am I indebted on the 30th day;

1.4. agree and undertake that you may in your sole and absolute discretion refuse to disclose the information given by me or such request to the company of the services herein ("Company") in any form to the extent required by law unless it is in the interest of the company or its shareholders;

1.5. undertake to indemnify any and all other persons, partners or other persons under ("Service Provider") provided by any and may not be liable, ensure that any person using any website that ("You") will not use any name and personal information or is not authorized to use the name in the request, except that the name shall be used by me as an agent in request of any loans, notes and expenses incurred by me as a result of such involvement except of Service Provider;

1.6. acknowledge that any use of some funds (as defined herein) may be generated by additional terms and conditions. In the event I choose to use or have any other website established through these funds, then additional terms and conditions:

1.6.1. will apply to any use of such funds in addition to these terms and conditions; and

1.6.2. will permit any third party and conditions to the extent of any, notwithstanding that only to the extent of these other website;

1.7. Other open days

1.7.1. you may in your sole discretion only establish a link to the use to access any Third Party Content, which shall be in any other website;

1.7.2. you shall not be liable to any or otherwise (except to provide the Third Party Content) any other the services herein; and

1.7.3. you shall be entitled to include any website or request of the Third Party Content by the website operators of all services facilities to the extent of your website;

1.8. "You" here means any and all means to which any web page may be provided in itself without being in contact to any other website, web page, website, or existing business, that is other website that is "You" the Content of the other web page within the website or home of a business or other application.

1.9. "You" here means any, subscribe or receive of the 17 Exchange (DSE) field;

1.10. "Third Party Content" means any other information or content provided by any party other than CIMB Securities (Malaysia) ("CIMB") field;

1.11. agree that you may have the absolute discretion to either or request any account without giving any notice whatsoever (including but not limited to the terminating notice of my account, account ending to use, or a form of the 17 Exchange, or a notice given to me, or any other notice or request to be used or any other account, account or account notice, account, account, and shall be used and continued as it is;

☒ I have read, understood and agreed with all the above terms and conditions

Cancel

Next

1.3.7 User to enter instructed quantity and apply excess quantity in the subscription page

Option	Instructed Quantity	Subscription Price / Reinvestment Price	Subscription Amount	* Charges Applied	Total Amount
001 Exercise Rights	<input type="text" value="1000"/>	@ 0.42	420.00	19.16	439.16
002 Apply Excess	<input type="text" value="500"/>	@ 0.42	210.00	5.98	215.98
Total Payment					655.14

Account Particular		Mode of Payment	
Full Name	<input type="text"/>	Mode	CIMBClicks - CIMB Bank
New IC	<input type="text"/>	Account No	<input type="text"/>
Trading Account No	<input type="text"/>		
CDS Account No	<input type="text"/>		
Contact No	<input type="text"/>		
Dealer Code	<input type="text"/>		
Please key in your preferred contact number if it differs from the above	<input type="text"/>		
e-Mail	a@a.com		

Please Key in your trading PIN

1.3.8 Enter trading pin and click <Confirm>

Entitled Quantity	Buy / Sell from Market	Eligible Quantity	Instructed Quantity (Entitled Rights)	Uninstructed Quantity
5000	-3000	2000	0	2000

Option	Instructed Quantity	Subscription Price / Reinvestment Price	Subscription Amount	* Charges Applied	Total Amount
001 Exercise Rights	<input type="text" value="2000"/>	@ 0.42	840.00	19.16	859.16
002 Apply Excess	<input type="text" value="1000"/>	@ 0.42	420.00	5.98	425.98
Total Payment					1285.14

Account Particular

Full Name	LOH
New IC	5511
Trading Account No	
CDS Account No	00005
Contact No	013-34
Dealer Code	
Please key in your preferred contact number if it differs from the above	<input type="text"/>
e-Mail	a@a.com

Mode of Payment

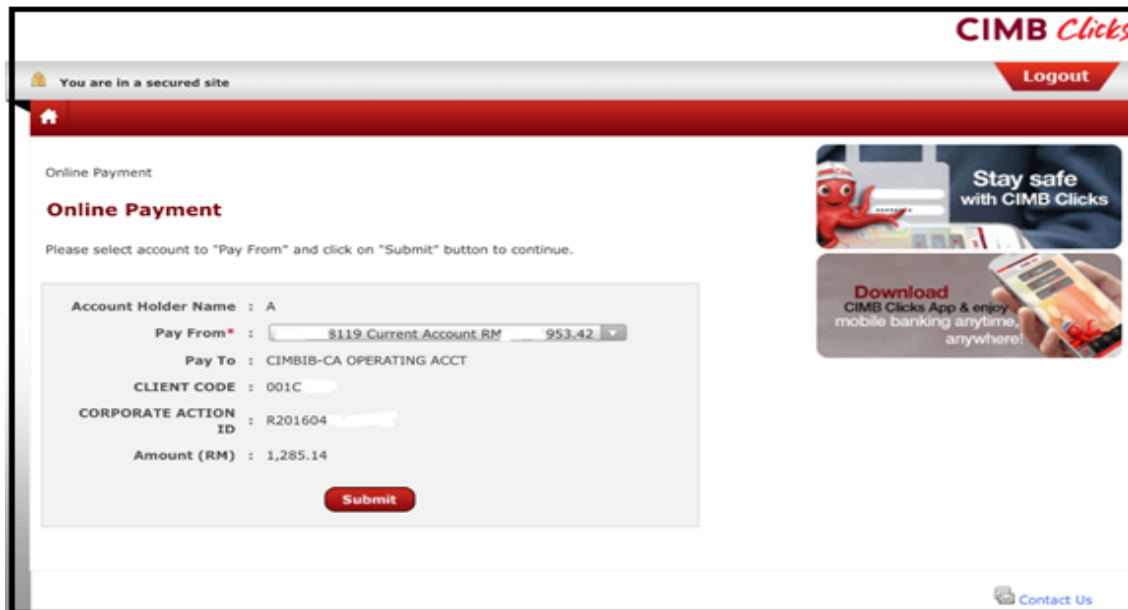
Mode	CIMBClicks - CIMB Bank
Account No	800

Please Key in your trading PIN

Trading PIN	<input type="password" value="*****"/>	Forgot PIN?
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1.3.9 System will redirect User to Internet Banking webpage. User is to ensure pop blocker is **turn off**

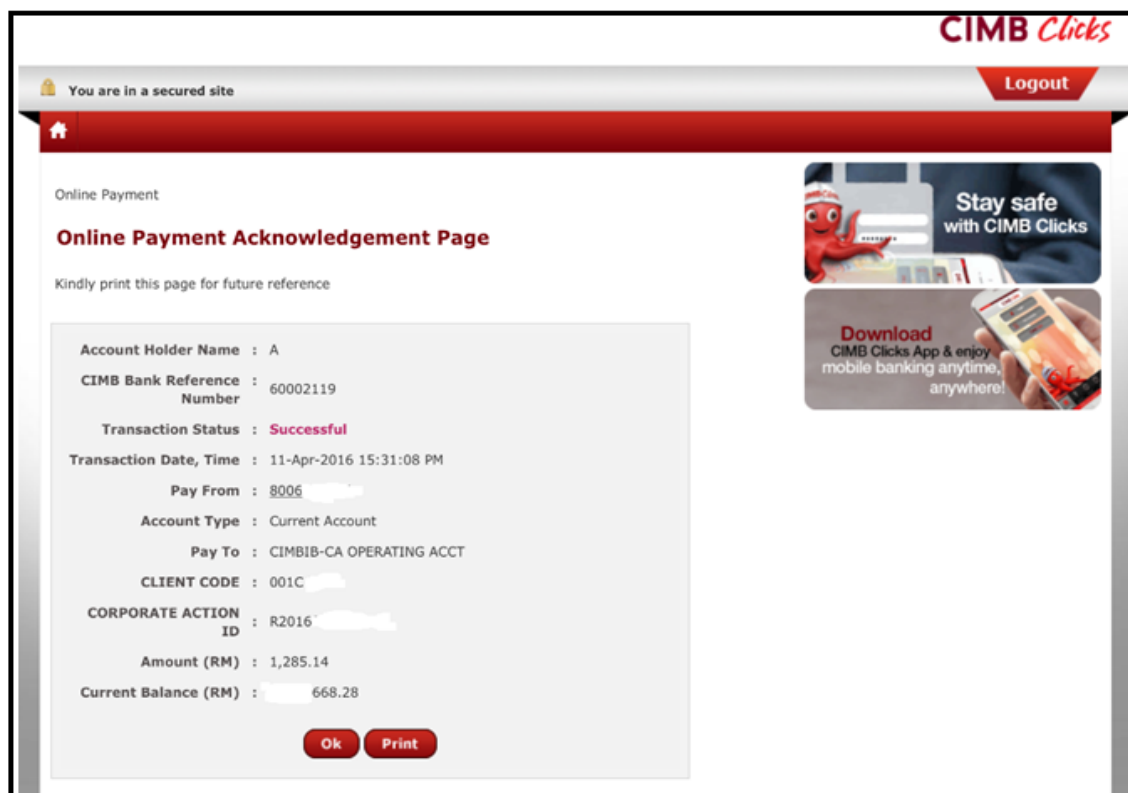
1.3.10 Proceed for subscription payment in the internet banking



The screenshot shows the CIMB Clicks Online Payment page. The header includes the CIMB Clicks logo, a 'You are in a secured site' message, and a 'Logout' button. The main content area is titled 'Online Payment' and includes a instruction: 'Please select account to "Pay From" and click on "Submit" button to continue.' The form fields are as follows:

Account Holder Name	: A
Pay From*	: <input type="text" value="8119 Current Account RM"/> <input type="text" value="953.42"/>
Pay To	: CIMBIB-CA OPERATING ACCT
CLIENT CODE	: 001C
CORPORATE ACTION ID	: R201604
Amount (RM)	: 1,285.14

A red 'Submit' button is located at the bottom right of the form. On the right side of the page, there are two promotional banners: 'Stay safe with CIMB Clicks' and 'Download CIMB Clicks App & enjoy mobile banking anytime, anywhere!'.



The screenshot shows the CIMB Clicks Online Payment Acknowledgement Page. The header includes the CIMB Clicks logo, a 'You are in a secured site' message, and a 'Logout' button. The main content area is titled 'Online Payment Acknowledgement Page' and includes a instruction: 'Kindly print this page for future reference.' The form fields are as follows:

Account Holder Name	: A
CIMB Bank Reference Number	: 60002119
Transaction Status	: Successful
Transaction Date, Time	: 11-Apr-2016 15:31:08 PM
Pay From	: <input type="text" value="8006"/>
Account Type	: Current Account
Pay To	: CIMBIB-CA OPERATING ACCT
CLIENT CODE	: 001C
CORPORATE ACTION ID	: R2016
Amount (RM)	: 1,285.14
Current Balance (RM)	: <input type="text" value="668.28"/>

At the bottom of the form, there are two buttons: 'Ok' and 'Print'.

- 1.3.11 Subscription payment will be shown as below and this page indicates your subscription is being processed.

Processing CA Instruction - Google Chrome
 https://uat.itradecimb.com.my/gcCIMB/stlProcessERights.jsp
Corporate Action Subscription Last Update 28/03/2016

Subscription/ Payment 1 Select Account > 2 Read/ Agree > 3 Subscription/ Payment > 4 Subscription Complete

Important Notes
 a) You will be routed to the on-line banking website to complete the funds transfer. Kindly ensure the browser's pop up blocker has been disabled.
 b) Please check the subscription status in i*Trade@CIMB quote screen under the "Subscription" menu and select "CA Subscription Status".

Payment Info

Reference number (Bill No.)	R2016032800161
Trading Account	
Online Transfer	CIMBClicks - CIMB Bank
Amount (RM)	RM
Date / Time	28/03/2016 09:48:16 AM
Subscription Status	Subscribed(Pending Payment)

Close

- 1.3.12 Click on the CA Subscription status to check the status

Research | Trading Hall | Settlement | My Profile | Other Services | Subscription

Watchlist Stock Info Chart Buy Sell News Analysis

KL 0.000 4,529,500 66,117,453 1,90

Subscription
 New Subscription
 Current Subscription
 Online CA Instruction
CA Subscription Status

Quote Screen


All Stock Sort by Volume Normal Board Lot Symbol/Code This Exchange Only Search

- 1.3.13 Click on the "Printer" icon to generate Tax Invoice

Application Status

Client's Name :
 Account Number :


Application(s) History


Application Date	Stock	Quantity of Shares	Status	App Ref No.	Tax
01/04/2016	CIMB-C7: CW CIMB GROUP HOLDINGS BERHAD (Completed.	R20	

Cancel Resubmit

Notes:
 For Resubmission or cancellation, please click on <Pending Payment> column
 * With supplementary prospectus.

1.3.14 Sample of Tax Invoice as follow.

 CIMB CIMB Investment Bank Berhad 17th Floor, Menara CIMB Jalan Stesen Sentral 2 50470 Kuala Lumpur, Malaysia GST ID No : 001064697856				TAX INVOICE Invoice No : R201604080000001 Date : 2016-04-08 Page 1		
WONG 123 jalan 1/1 Taman Connought Trading Account : C5 ...						
Transaction Date	Description	Transaction Reference Number	Tax Code	Gross Amount (RM)	GST Amount (RM)	Total Including GST (RM)
08/04/2016	Exercise Rights-CIMB-C7: CW CIMB GROUP HOLDINGS BERHAD (R2016040800180	OS	4,200.00	0.00	4,200.00
08/04/2016	Apply Excess	R2016040800180	OS	0.00	0.00	0.00
08/04/2016	Stamp Duty	R2016040800180	OS	10.00	0.00	10.00
08/04/2016	Registrar Fee	R2016040800180	SR	3.00	0.18	3.18
08/04/2016	Bank Draft	R2016040800180	SR	5.50	0.33	5.83
GST Tax Code Description ES Exempt Supplies OR Out Of Scope SR Standard Rated Supply At 6% GST						

 CIMB CIMB Investment Bank Berhad 17th Floor, Menara CIMB Jalan Stesen Sentral 2 50470 Kuala Lumpur, Malaysia GST ID No : 001064697856				TAX INVOICE Invoice No : R201604080000001 Date : 2016-04-08 Page 2		
WONG 123 jalan 1/1 Taman Connought Trading Account : C5						
Transaction Date	Description	Transaction Reference Number	Tax Code	Gross Amount (RM)	GST Amount (RM)	Total Including GST (RM)
08/04/2016	Bank Draft Stamp Duty	R2016040800180	OS	0.15	0.00	0.15
				Total	4,218.65	0.51
						4,219.16
GST Tax Code Description ES Exempt Supplies OR Out Of Scope SR Standard Rated Supply At 6% GST ZR Zero Rated						

1.3.15 Cancellation of Subscription (Only applicable to Pending Payment status)

i) Select on the subscription row and click “Cancel”

Application Status

Client's Name :
 Account Number : Clicks Trader (C6)

Application(s) History

Application Date	Stock	Quantity of Shares	Status	App Ref No.	Tax Invoice
06/04/2016	CIMB-C7: CW CIMB GROUP HOLDINGS BERHAD (2000	Subscribed(Pending Payment)	R2016040600175	

Cancel Resubmit

Notes:
 For Resubmission or cancellation, please click on <Pending Payment> column
 * With supplementary prospectus.

Application Status

Client's Name :
 Account Number : Clicks Trader (C6)

Application(s) History

Application Date	Stock	Quantity of Shares	Status	App Ref No.	Tax Invoice
06/04/2016	CIMB-C7: CW CIMB GROUP HOLDINGS BERHAD (2000	Subscribed(Pending Payment)	R2016040600175	

Cancel Resubmit

Notes:
 For Resubmission or cancellation, please click on <Pending Payment> column
 * With supplementary prospectus.

https://
uat.itradecimb.com.my

Cancel successfully

OK

1.3.16 Checking on Historical Subscription

i) Select Trading Account and click on “Application(s) History” icon

Application Status

Client's Name : _____

Account Number : Clicks Trader (C6)

Application(s) History

Application Date	Stock	Quantity of Shares	Status	App Ref No.	Tax Invoice
06/04/2016	CIMB-C7: CW CIMB GROUP HOLDINGS BERHAD (2000	Subscribed(Pending Payment)	R2016040600175	

Cancel Resubmit

Notes:
For Resubmission or cancellation, please click on <Pending Payment> column
* With supplementary prospectus.

Application Status

Client's Name : _____

Account Number : Clicks Trader

Today

Application Date	Stock	Quantity of Shares	Status	App Ref No.	Tax Invoice
06/04/2016	CIMB-C7: CW CIMB GROUP HOLDINGS BERHAD (2000	Completed.	R2016040600176	
06/04/2016	CIMB-C7: CW CIMB GROUP HOLDINGS BERHAD (2000	Cancelled.	R2016040600175	
05/04/2016	CIMB-C7: CW CIMB GROUP HOLDINGS BERHAD (2000	Rejected: Pending payment for more than 12 hours. Please subscribed again.	R2016040500172	
04/04/2016	CIMB-C7: CW CIMB GROUP HOLDINGS BERHAD (2000	Rejected: Pending payment for more than 12 hours. Please subscribed again.	R2016040400171	
19/11/2015	CIMB-C7: CW CIMB GROUP HOLDINGS BERHAD (1	You are only allowed to subscribe. Subscription date from [Oct 26 2015 12:00AM] until [Nov 16 2015 5:00PM].		

Cancel Resubmit

Notes:
For Resubmission or cancellation, please click on <Pending Payment> column
* With supplementary prospectus.