

To: **CIMB Investment Bank Berhad ("CIMB")**

Dear Sir / Madam:

**FOREIGN SHARES TRADING ONLINE BROKERAGE RATE PROMOTION CAMPAIGN**

Name (as per NRIC/Passport): \_\_\_\_\_

NRIC/Passport No.: \_\_\_\_\_

Client Code: \_\_\_\_\_

Mobile No. \_\_\_\_\_ Home No. \_\_\_\_\_

Email Address: \_\_\_\_\_

Correspondence Address: \_\_\_\_\_

Yes, I would like to participate in the Foreign Shares Trading Online Brokerage Rate Promotion Campaign. I have read, understood and agreed to comply with the Terms & Conditions of the Campaign.

I made this solemn declaration conscientiously believing the same to be true.

Yours faithfully,

Witnessed by,

\_\_\_\_\_  
Signature of Applicant

\_\_\_\_\_  
Signature of Authorised Officer of CIMB Group

Date:

Name:

Date:

For Office Use Only	
Signature verified by:	_____
Date:	_____

**CIMB Investment Bank Berhad (18417-M)**

(A Participating Organisation of Bursa Malaysia Securities Berhad)

17<sup>th</sup> Floor Menara CIMB, Jalan Stesen Sentral 2, Kuala Lumpur Sentral, 50470 Kuala Lumpur, Malaysia.

Telephone (603) 2261 8888 Facsimile (603) 2261 8889 [www.cimb.com](http://www.cimb.com)

## Foreign Shares Trading Online Brokerage Rate Promotion Campaign

### Tagline

Open a trading account with CIMB Investment Bank Berhad (“CIMB”) and enjoy online brokerage rate of 0.35% or minimum RM 70 for U.S., Hong Kong and Singapore Stock Exchanges (“The Campaign”) from 1 April 2014 until 30 September 2014 both dates inclusive (“Campaign Period”). Terms & Conditions apply.

### Eligibility

1. The Campaign is open to retail stockbroking clients who apply for a new trading account including Securitised Trading Account and/or Direct Trading Account, with Online Trading Facility and Non-Ringgit Securities Equity Trading Facilities with CIMB during the Campaign Period (“Eligible Clients”).
2. Existing clients of CIMB who already have an existing Securitised Trading Account and/or Direct Trading Account with CIMB are not eligible to participate in the Campaign.
3. Subject to Clause 1 and Clause 2, all new clients are eligible to participate in the Campaign upon which the Trading Accounts of the Eligible Clients have been approved for online trading in Non-Ringgit Securities Equity by CIMB.
4. Subject to Clause 1 and Clause 2, existing clients of CIMB who close and reopen their Trading Accounts during the Campaign Period are not eligible to participate in the Campaign.

### Terms & Conditions

5. Eligible Clients will enjoy online brokerage rate of 0.35% or minimum RM 70 for U.S., Hong Kong and Singapore Stock Exchanges during the Campaign Period.
6. The Campaign brokerage rate is only applicable to online trading with trade value of RM 100,000 and below transacted on Hong Kong and Singapore Stock Exchanges and **any trade** value for online trading transacted on U.S. Stock Exchanges during the Campaign Period.
7. Online trading with trade value above RM 100,000 transacted on Hong Kong and Singapore Stock Exchanges shall be charged the prevailing brokerage rate according to the brokerage tier.
8. Should the Eligible Clients have more than one (1) trading account that has Non-ringgit Securities Equity Trading Facilities (e.g. ST Direct, ST Nominees, etc), all the online trades for U.S., Hong Kong and Singapore Stock Exchanges under such accounts will be counted separately in the campaign.
9. Clients must agree to the Terms and Conditions in order to participate in this Campaign. A hardcopy of the Terms and Conditions will be given to the Clients for execution.
10. The participation will take effect on the date upon which the terms and conditions are accepted and signed (“Effective Date”) and any brokerages reimbursement will only be computed based on the foreign shares trading during the Campaign Period.

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11. During the Campaign Period, Eligible Clients will be entitled to enjoy the benefit of discounted online brokerage charges in Ringgit Malaysia (RM). As a result of the discounted online brokerage charges, CIMB will instead reimburse the brokerage charges incurred in Ringgit Malaysia (RM) by the Eligible Clients during the Campaign Period which are calculated based on the total brokerage incurred that are eligible for the reimbursement.
12. The brokerage charges incurred by Eligible Clients will be reimbursed/credited into the Eligible Clients' trading accounts within Two (2) month from the end of each calendar month during the Campaign Period.
13. Exchange fees, counter parties charges, foreign charges, clearing and routing charges, jurisdiction taxes, levies and all prescribed charges imposed by the relevant exchanges, regulators and its jurisdiction laws if any shall be borne by Eligible Clients
14. By participating in the Campaign, all Eligible Clients are deemed to have read, understood and agree to be bound by these Terms & Conditions and shall agree with any decisions of CIMB in relation to every aspect of the Campaign. No correspondence, queries, appeals or protests will be entertained.
15. All trades after the Campaign Period will be charged with CIMB's normal brokerage rates or charges as prescribed by CIMB.
16. All applicable local laws and regulation apply to this campaign.
17. Pursuant to the requirements the Personal Data Protection Act 2010, CIMB is required to obtain Client's consent for the processing of Client's personal data. Therefore, the Client hereby consents to CIMB's processing of the Client's personal data which the Client may provide to CIMB now and from time to time in connection with their applications or participation in the Campaign. The Client hereby confirms that the Client has read, understood and agrees to be bound by the CIMB Group Privacy Notice (which is available at [www.itradecimb.com.my](http://www.itradecimb.com.my)) ("Privacy Notice") and the clauses herein, as may relate to the processing of his or her personal information. For the avoidance of doubt, the Client agrees that the said Privacy Notice shall be deemed to be incorporated by reference into this Agreement.