

To: CIMB Investment Bank Berhad (“CIMB”)

Dear Sir / Madam:

FOREIGN SHARES TRADE AND WIN CAMPAIGN

Name (as per NRIC/Passport): _____

NRIC/Passport No.: _____

Client Code: _____

Mobile No. _____ Home No. _____

Email Address: _____

Correspondence Address: _____

Yes, I would like to participate in the Foreign Shares Trade and Win Campaign. I have read, understood and agreed to comply with the Terms & Conditions of the Campaign.

I made this solemn declaration conscientiously believing the same to be true.

Yours faithfully,

Witnessed by,

Signature of Applicant

Signature of Authorised Officer of CIMB Group

Date:

Name:

Date:

For Office Use Only	
Signature verified by:	_____
Date:	_____

CIMB Investment Bank Berhad (18417-M)

(A Participating Organisation of Bursa Malaysia Securities Berhad)

17th Floor Menara CIMB, Jalan Stesen Sentral 2, Kuala Lumpur Sentral, 50470 Kuala Lumpur, Malaysia.

Telephone (603) 2261 8888 Facsimile (603) 2261 8889 www.cimb.com

Foreign Shares Trade and Win Campaign (“Campaign”)

Tagline

Trade on Foreign Stock Exchanges that approved by Bursa Malaysia with CIMB Investment Bank Berhad (“CIMB”) and stand a chance to win monthly attractive prizes from 1 April 2014 to 30 September 2014. Terms & Conditions apply.

Campaign Period

1. The Trade and Win Campaign (“Campaign”) is organised by CIMB Investment Bank Berhad (“CIMB”) and will run from 1 April 2014 to 30 September 2014, both dates inclusive (“Campaign Period”).

Eligibility

2. The Campaign is open to individual retail stockbroking clients who apply for a new Securitised Trading Account and/or Direct Trading Account, with Non-Ringgit Securities Equity Trading Facilities as well as individual retail stockbroking clients who have these accounts with CIMB, for purposes of trading online or offline in foreign stock exchanges (“Eligible Clients”).
3. The following persons shall not be eligible to participate in the Campaign:
 - a) Clients who trade via Clicks Trader, Islamic Stockbroking clients, Corporate clients, institutional clients; and/or
 - b) Share Margin Financing clients whose account are not authorized to purchase foreign shares: and/or
 - c) Permanent and/or contract employees of CIMB (including its subsidiaries and/or related companies) and/or their immediate family members (i.e. spouse, children, parents, brothers and sisters); and/or
 - d) Representatives and/or agents (including advertising & promotion agents and technology vendors) of CIMB and their immediate family members (i.e. spouse, children, parents, brothers and sisters)

The Campaign

4. During the Campaign Period, for every accumulated foreign shares trading brokerage fee of RM 70 paid by an Eligible Client in one single day, such Eligible Client shall be entitled to one (1) entry in the Campaign. If the accumulated foreign shares trading brokerage fee is RM500 and above in one single day, the number of entries in the Campaign that an Eligible Client is entitled to, will be doubled. There will be no maximum number of entries an Eligible Client can submit. For example:

Daily End-of-Day Foreign Shares Trading Brokerage Fees	No. of Entitled Entry(ies)
RM 450	6 entries

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RM 500	14 entries (Double entries)
RM 700	20 entries (Double entries)

5. Three (3) Eligible Clients will be selected as potential winner within one month after the end of each monthly period via an automated selection system.
6. All potential winners must answer all questions related to stock market and CIMB correctly via telephone before selected as Winner.
7. Monthly winners will each be rewarded with a designated prize.
8. The Winner is allowed and qualified to win only one (1) monthly prize each month during the Campaign Period.
9. Should the Eligible Clients have more than one (1) trading account maintained with CIMB (e.g. ST Direct, ST Nominees, etc), then all the brokerage or the brokerage fees (as the case may be) generated through Foreign Shares trading from such accounts with CIMB will be consolidated and taken into account as one (1) trading account with CIMB for eligibility for the Prizes.
10. Clients must agree to the Terms and Conditions in order to participate in this Campaign. A hardcopy of the Terms and Conditions will be given to the Clients for execution.
11. The participation will take effect on the date upon which the terms and conditions are accepted by the Client and the number of entitled entry will only be counted when the foreign shares trading brokerage is generated during the campaign period.

Monthly Trade and Win Prizes

Month	Prizes	Value (RM)
April 2014	First Prize: Travel Warrant for Singapore Tour Packages for 2 persons	RM4,500
	Second Prize: 50" Samsung LED Smart TV- Model UA50F5500	RM3,999
	Third Prize: 16Gb iPad Air (Wifi)	RM1,600
May 2014	First Prize: Travel Warrant for Hong Kong Tour Packages	RM6,000
	Second Prize: 50" Samsung LED Smart TV- Model UA50F5500	RM3,999
	Third Prize: 16Gb iPad Air (Wifi)	RM1,600
June 2014	First Prize: Travel Warrant for United States Tour Packages	RM15,000
	Second Prize: 50" Samsung LED Smart TV- Model UA50F5500	RM3,999
	Third Prize: 16Gb iPad Air (Wifi)	RM1,600

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July 2014	First Prize: Travel Warrant for Singapore Tour Packages for 2 persons	RM4,500
	Second Prize: 50" Samsung LED Smart TV- Model UA50F5500	RM3,999
	Third Prize: 16Gb iPad Air (Wifi)	RM1,600
August 2014	First Prize: Travel Warrant for Hong Kong Tour Packages	RM6,000
	Second Prize: 50" Samsung LED Smart TV- Model UA50F5500	RM3,999
	Third Prize: 16Gb iPad Air (Wifi)	RM1,600
September 2014	First Prize: Travel Warrant for United States Tour Packages	RM15,000
	Second Prize: 50" Samsung LED Smart TV- Model UA50F5500	RM3,999
	Third Prize: 16Gb iPad Air (Wifi)	RM1,600

12. All prices and value stated here are correct at the time of printing. Prize images shown in any marketing and advertisement collateral are for visual purposes only and colours may vary from actual prize received. The Monthly Winners ("Winners") shall be responsible for any costs whatsoever incurred in connection with the Prizes, including but not limited to transportation from the Merchant's office location, all upgrades and alterations, any other third party costs (commission, ownership transfer, etc).

- i. The Winners shall be responsible to pay any tax, incidental cost and/or any other charges relating to any of the Prizes unless stated otherwise. CIMB shall not be held liable for any tax, incidental cost, charges and/or damage caused by any of the Prizes and/or non-fulfilment by any of its agents/distributors.
- ii. The Prizes are not transferable in exception for travel warrant.
- iii. The Prizes are not exchangeable for cash or credit or kind whether in part or in full.
- iv. Prizes may carry their own terms and conditions and these terms and conditions shall be applicable in addition to the terms and conditions contained within.

Potential Winners and Winners Notification

13. All Potential Winners and Winners of the Trade and Win Campaign will be notified by telephone and/or mail.

14. The Winners hereby expressly consent to their names being posted on CIMB's website via www.itradecimb.com.my.

15. CIMB has the sole discretion to fix the appointed date and time to make the telephone calls to the Potential Winners and Winners.

16. CIMB will notify all Potential Winners and Winners based on the information supplied by the Potential Winners and Winners and maintained in CIMB's records. Pursuant to the requirements the Personal Data Protection Act 2010, CIMB is required to obtain Client's consent for the processing of Client's personal data. Therefore, the Client hereby consents to CIMB's processing of the Client's personal data which the Client may provide to CIMB now and from time

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to time in connection with their applications or participation in the Campaign. The Client hereby confirms that the Client has read, understood and agrees to be bound by the CIMB Group Privacy Notice (which is available at www.itradecimb.com.my) ("Privacy Notice") and the clauses herein, as may relate to the processing of his or her personal information. For the avoidance of doubt, the Client agrees that the said Privacy Notice shall be deemed to be incorporated by reference into this Agreement.

17. The Winners agree and authorize CIMB to disclose the Winners' details (including but not limited to name, NRIC number, telephone number, address) to the distributor/agent who would then contact the Winners regarding the delivery of their Prizes. The Winners shall be responsible to make the necessary arrangements with CIMB and/or its distributor/agent for the delivery and/or collection of the Prizes. All tax, charges and incidental costs in this regards shall be borne by the Winners.

18. The Potential Winners and Winners of the Trade and Win Campaign hereby agree that in the event that they are not contactable, CIMB reserves the right to forfeit the Prizes and/or select another Potential Winner and Winner.

General

19. By signing the terms and conditions of the Campaign, all Eligible Clients are deemed to have read, understood and agreed to be bound by these Terms & Conditions and agreed that any decisions of CIMB in relation to every aspect of the Campaign, including the Prizes to be given away and the determination of the Potential Winners and Winners, is final, binding and conclusive. No correspondence, queries, appeals or protests will be entertained.

20. CIMB reserves the right, without prior notice or assigning any reason whatsoever, to substitute any of the Prizes with other item(s) of the similar value. The Prizes under the Campaign do not include any accessories of items shown in any advertisements and/or promotional materials which are for photography purposes only.

21. To the fullest extent permitted by law, CIMB expressly exclude and disclaim any representations, warranties, or endorsements, express or implied, written or oral, including but not limited to any warranty of quality, merchantability or fitness for a particular purpose in respect of the Prizes. Further, no responsibilities are accepted for any additional expenses, omissions, delays, re-routing, or acts of any government or authority.

22. Although CIMB will endeavour to deliver the rewards promptly, this is subject to availability and accordingly, CIMB shall not be responsible for any delay nor will be there any compensation payable for any such delays.

23. In no event will CIMB be liable for any loss or damages (including without limitations, loss of income, profits or goodwill, direct or indirect, incidental consequential, exemplary, punitive or special damages or any party including third parties) howsoever arising whether in contract, tort, negligence or otherwise, in connection with the Campaign, even if CIMB has been advised of the possibility of such damages in advance, and all such damages are expressly excluded.

24. Winners are responsible, if applicable, for additional documents and expenses needed for the trip such as but not limited to passport, food and beverage, items and flight arrangement not specified in the travel package program, travel visa, surcharge for public and school holidays, overweight luggage charges, additional flight tickets, change of flights, insurance etc.

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25. Winners of the prizes will be required, if applicable, to sign to confirm their acceptance of conditions relating to accommodation and travel.
26. It is the responsibility of the Winner to obtain the necessary and required travel documents including Visa and additional tickets to travel and/or transit from the city of origin. No compensation will be given should the winner fail to obtain such documents or miss their flight due to a late connecting flight regardless of any circumstances.
27. All Monthly Winners hereby expressly consent to CIMB at its discretion publishing, displaying, identifying their names, photographs, addresses, NRIC numbers and other information for advertising and publicity purposes.
28. The Monthly Winners hereby agree that they will at CIMB's absolute discretion be required to attend and participate in prize-giving ceremony(ies) and/or other related events organised by CIMB (if any) and if any of the Winners fail to attend such ceremony(ies) and/or events, CIMB reserves the right to forfeit the Prizes and/or select another winner.
29. CIMB reserves the right to cancel, terminate or suspend the Campaign with or without any prior notice. For avoidance of doubt, cancellation, termination or suspension of the Campaign shall not entitle any of the Eligible Clients to any claim or compensation against CIMB or any losses or damages suffered or incurred by the Eligible Clients as a direct or indirect result of the act of cancellation, termination or suspension.
30. CIMB reserves the rights at its absolute discretion to vary (whether by addition, deletion, modification, amendment or otherwise howsoever) (the "Amendment") any of the terms and conditions herein at any time. The Amendment shall be effected at CIMB's absolute discretion through any one of the following means of communication, namely, by ordinary mail to the Eligible Clients' last known address or by posting a notice regarding the Amendment at each of CIMB's branches or by effecting an advertisement regarding the Amendment in any one newspaper of CIMB's choice, or via CIMB's website and the Amendment shall be deemed as binding on the Eligible Clients as from the date of notification of the Amendment or from such other date as may be specified by CIMB.
31. These Terms & Conditions, as may be varied or amended from time to time pursuant to Clause 30, shall prevail over any provisions or representations contained in any other promotional materials advertising the Campaign.
32. None of the campaigns is nor shall any of them be construed as an offer, recommendation or solicitation to buy or sell any securities. All clients are advised to make their own independent evaluation and to consult their professional advisers before undertaking any transaction.
33. All applicable local laws and regulation apply to this Campaign.